

Substitute for Form 1449A/PTO (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

JUN 03 2002

Sheet

of

2

Complete if Known

Application Number

09/541,667

Filing Date

3/31/00

First Named Inventor:

Carl M. Ellison

Group Art Unit

2787

Examiner Name

Attorney Docket Number

042390.P8629

U.S. PATENT DOCUMENTS

[illegible]

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FOREIGN PATENT DOCUMENTS

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Date Considered

10/21/03

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	09/541,667	
		Filing Date	3/31/00	
		First Named Inventor:	Carl M. Ellison	
		Group Art Unit	2787	
		Examiner Name		
Sheet	of	2	Attorney Docket Number	042390.P8629
OTHER ART – NO PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²	
n		"M68040 USER'S MANUAL", 1993, MOTOROLA INC., page 1-5 – page 1-9, page 1-13- page 1-20, page 2-1-page 2-3, page 4-1, page 8-9-page 8-11		
n		"INTEL 386 DX MICROPROCESSOR 32-BIT CMOS MICROPROCESSOR WITH INTEGRATED MEMORY MANAGEMENT", 12/31/95, INTEL INC., page 32-56; figure 4-14		
n		JOE HEINRICH:"MIPS R4000 MICROPROCESSOR USER'S MANUAL", 1994, MIPS TECHNOLOGY INC., MOUNTAIN VIEW, CA, pages 67-79		
n		BERG C: "HOW DO I CREATE A SIGNED APPLET?", DR. DOBB'S JOURNAL, M&T PUBL., REDWOOD CITY, CA, US, VOL. 22, NO. 8, 8 '97, page 109-111, 122		
n		GONG L ET AL: "GOING BEYOND THE SANDBOX: AN OVERVIEW OF THE NEW SECURITY ARCHITECTURE IN THE JAVA DEVELOPMENT KIT 1.2", PROCEEDINGS OF THE USENIX SYMPOSIUM ON INTERNET TECHNOLOGIES AND SYSTEMS, MONTEREY, CA 12 '97, pages 103-112		

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Application Number

09/541,667

Filing Date

March 31, 2000

First Named Inventor:

Carl M. Ellison

Group Art Unit

2787

Examiner Name

Attorney Docket Number

042390.P8629

OTHER ART – NO PATENT LITERATURE DOCUMENTS

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number 09/541,667
 Filing Date 3/31/00
 First Named Inventor: Ellison
 Group Art Unit 2787
 Examiner Name
 Attorney Docket Number 042390.P8629

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U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code ²	Kind (If known)			
h		4,278,837		Best	7/14/81	
h		4,521,852		Guttag	6/4/85	
h		5,022,077		Bealkowski et al.	6/4/91	
h		5,255,379		Melo	10/19/93	
h		5,293,424		Holtey et al.	3/8/94	
h		5,421,006		Jablon et al.	5/30/95	
h		5,459,869		Spilo	10/17/95	
h		5,473,692		Davis	12/5/95	
h		5,479,509		Ugon	12/26/95	
h		5,568,552		Davis	10/22/96	
h		5,615,263		Takahashi	3/25/97	
h		5,657,445		Pearce	8/12/97	
h		5,717,903		Bonola	2/10/98	
h		5,729,760		Poisner	3/17/98	
h		5,764,969		Kahle et al.	6/9/98	
h		5,796,845		Serikawa et al.	8/18/98	
h		5,805,712		Davis	9/8/98	
h		5,835,594		Albrecht et al.	11/10/98	
h		5,844,986		Davis	12/1/98	

FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (If known)				
h			EP1146715		European Patent Application	10/17/01		
h			WO0062232		PCT	10/19/00		
h			WO9905600		PCT	2/4/99		
h			WO9957863		PCT	11/11/99		
h			WO9844402		PCT	10/8/98		

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MAR 2 1 2002

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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MAR 2 1 2002

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Application Number	09/541,667	RECEIVED MAR 2 2 2002 Technology Center 2100
Filing Date	3/31/00	
First Named Inventor:	Ellison	
Group Art Unit	2787	
Examiner Name		
Attorney Docket Number	042390.P8629	

Sheet 2 of 2

U.S. PATENT DOCUMENTS

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		Number	Kind Code ² (If known)			
		5,937,063		Davis	8/10/99	
		5,978,481		Ganesan et al.	11/2/99	
		6,014,745		Ashe	1/11/00	
		6,058,478		Davis	5/2/00	
		6,158,546		Hanson et al.	12/12/00	
		6,175,925 B1		Nardone et al.	1/16/01	
		6,178,509		Nardone et al.	1/23/01	
		6,205,550 B1		Nardone et al.	3/20/01	
		6,282,651		Ashe	8/28/01	
		6,282,657 B1		Kaplan et al.	8/28/01	
		US2001003745		Metlitski et al.	11/1/01	

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		Office ³	Number ⁴	Kind Code ⁵ (If known)				

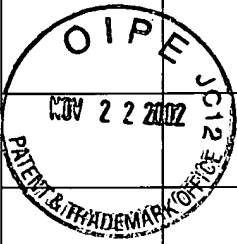
Examiner Signature	Date Considered
	10/12/03

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				Application Number	09/541,667	RECEIVED NOV 25 2002 Technology Center 210
				Filing Date	March 31, 2000	
				First Named Inventor:	Carl M. Ellison	
				Group Art Unit	2787	
				Examiner Name	Unassigned	
				Attorney Docket Number	042390P8629	
Sheet	2	of	2			
OTHER ART – NO PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published				T ²
		GOLDBERG, R., "Survey of virtual machine research," IEEE Computer Magazine 7(6), pp. 34-45, 1974.				
		GUM, P.H., "System/370 Extended Architecture: Facilities for Virtual Machines," IBM J. Research Development, Vol 27, Number 6, pp. 530-544, November 1983				
		ROSENBLUM, M. "Vmware's Virtual Platform: A Virtual Machine Monitor for Commodity PCs," Proceedings of the 11th Hotchips Conference, pp. 185-196, August 1999.				
		LAWTON, K., "Running Multiple Operating Systems Concurrently on an IA32 PC Using Virtualization Techniques," http://www.plex86.org/research/paper.txt ; 11/29/1999; pp. 1-31.				

Examiner Signature		Date Considered	
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First Named Inventor:	Carl M. Ellison
Group Art Unit	2787
Examiner Name	Unassigned
Attorney Docket Number	042390.P8629

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		Number	Kind Code ² (If known)			
h		6,505,279		Phillips et al.	01-07-2003	
n		5,079,737		Hackbarth	01-07-1992	
y		5,628,022		Ueno et al.	05-06-1997	
y		6,192,455		Bogin et al.	02-20-2001	
n		6,085,296		Karkhanis et al.	07-04-2000	
m		6,148,379		Schimmel	11-14-2000	
n		6,499,123		McFarland et al.	12-24-2002	
y		6,339,816		Bausch	01-15-2002	
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n		5,852,717		Bhide et al.	12-22-1998	
n		5,386,552		Garney	01-31-1995	
n		4,037,214		Birney et al.	07-19-1977	
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m		6,055,637		Hudson et al.	04-25-2000	
n		5,757,919		Herbert et al.	05-26-1998	
y		5,737,760		Grimmer, Jr. et al.	04-07-1998	
n		6,292,874		Barnett	09-18-2001	
n		6,272,533		Browne	08-07-2001	
n		6,339,815		Feng et al.	01-15-2002	
n		5,872,994		Akiyama et al.	02-16-1999	
n		6,301,646		Hostetter	10-09-2001	
n		6,321,314		Van Dyke	11-20-2001	
n		2001/0021969		Burger et al.	09-13-2001	
y		2001/0027527		Khidekel et al.	10-04-2001	

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h			WO 97/29567		Fortress U & T Ltd.	08-14-1997		
n			WO 01/75565		Intel Corporation	10-11-2001		
n			WO 01/75595		Intel Corporation	10-11-2001		
n			WO 01/27723		Hewlett-Packard Company	04-19-2001		
n			WO 01/27821		Hewlett-Packard Company	04-19-2001		

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